

# Advanced Validation System

# Kaye Validator AVS



# Advanced Validation Technology

The Kaye Validator AVS (Advanced Validation System) is a state of the art validation system designed to meet current regulatory requirements for Thermal Validation and Data Integrity.

The Validator AVS combines high accuracy measurements, automated sensor calibration, intuitive metro style user interface, and extensive reporting to simplify the complete validation process.

The Validator AVS is the successor of the widely recognized Kaye Validator 2000, the accepted standard in wired validations systems for over 20 years.



# Lifting Validation to the Next Level

The Kaye Validator AVS System is a unique design and concept combining a stand-alone Validator AVS along with a Validator AVS Console. The AVS console is a rugged hardened console dedicated to interfacing with your Kaye Validator AVS. It is pre-loaded with the Kaye AVS software and a core load that is dedicated to Validation tasks only. This concept greatly simplifies software validation and dependency on continuously changing PC's, Operating Systems, and core loads.

The Kaye Validator AVS offers easy, dedicated and reliable validation. The AVS is intuitive, efficient, and easy to operate - allowing you to focus on the validation, not the technology.

# **Applications - Challenges - Solutions**

### **Applications**



- Steam Sterilizers (Autoclaves)
- Dry Heat Sterilizers
- Steam in Place (SIP)
- Water Cascade/Fall Sterilizers
- Incubators
- Stability Chambers
- Freezers
- Freeze Dryer/Lyophilization
- Vessels

### **Challenges**



- Pharmaceutical industries are faced with increasing operational challenges
- IT Environment
  - Increased IT security and lock down on portable data
  - Continually changing operation systems
    - Hardware compatibility
    - Complex software operation

#### Validation

- Diverse evolution of technologies in validation
  - Data backward compatibility
- Complex and time consuming data organization
  - Cost and time of validation and re-validation

### **Solutions**



- Kaye Validator AVS Console dedicated for validation
- OS, Coreload, and AVS software pre-loaded and tested for maximum reliability and efficiency
- Eliminates IT control
- Powerful and flexible data backup/ restore capabilities to meet IT and Data Integrity requirements
- Simplified Validation
- Asset Centric Data Management concept
- Data Integrity / 21 CFR part 11 compliant



# Kaye Validator AVS

#### **AVS System**

A Kaye Validator AVS system consists of the Validator AVS and the Validation Console. The console can be docked directly to the Validator AVS and is used as the operator interface to the Validator AVS.

Selectable input capacity (1 to 4 SIMs) up to 48 total inputs.



#### Robust Design

- Robust industrial design with two handles
- IP55 rating, chemical resistant ABS housing
- Dedicated Validation Console for improved user interface
- On-board docking station for Kaye Validation Console
- Battery backup with field replaceable battery pack (3 hours)

#### Data Security via Smart Redundancy Concept

- Standalone operation of Validator AVS console connection not needed
- Validator AVS Internal Memory
- · Second independent mirrored memory card for data redundancy
- Data download to validation console
- Manual download of study and audit data to **USB**
- Backup and restore synchronization of console data with server and other consoles



#### **Hardware Connectivity**

The Kaye Validator AVS comes complete with improved robust connections for IRTD and Calibration Baths. The Validator AVS is backward compatible with all existing IRTD and Kaye Baths for Automatic Calibration. Two relay outputs are also available to be activated via Qualification events.

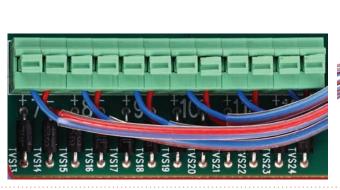




The Validator has 4 slots on the back of the unit for easy plug-in of SIMs

#### Sensor Inputs

- Up to 4 SIMs 48 channel capacity
- Scan speed of 48 channels per second
- SIMs for TCs, 4-20mA, 0-10V and RTDs
- Improved Sensor Connectivity (quick-fix & lock connectors)
- Accepts a wide range of thermocouple types (T, T premium, J, K, E, B, R, N, S)







# **Kaye Validation Console**

### A New Flexible Approach to Validation

The Kaye Validator AVS Console is a state-of-the-art, portable and rugged console dedicated to the programming, displaying, reporting, and storage of Validator AVS data. The Console comes pre-loaded and configured with the Kaye AVS software and is customized to specific Validation tasks.

The Console offers direct docking and Wi-Fi connectivity with the Validator AVS; it brings about a new approach to tackling your Software Validation.



### Validation Console Specifications

#### **Operating System / Processor / Memory**

- Microsoft Windows 10 Enterprise LTSC (64 bit)
- 8th Generation Intel<sup>®</sup> Core<sup>™</sup> -i5 Processor
- 8 GB RAM

#### **Durability IP65 Rated**

- Military grade durability with improved thermal management
- · Maximum protection against dust, dirt, and water
- Drop-tested from 4 feet
- Temperature-tested from -20°F to 145°F (-29°C to 62°C)

#### **Display**

- 11.6-inch, FHD 1920 x 1080
- 1000 Nit Outdoor-Readable
- Anti-Glare, Anti-Smudge, Polarizer
- Glove-Capable Touchscreen

#### **System Storage**

• 256GB M.2 Solid State Drive (SSD)

#### **Integrated Communications**

- Intel® Wireless-AC 9560
- 802.11ac with Bluetooth 5.0

#### **Separate Docking Station Available**

#### I/O Ports

- Docking Connector
- 1 USB 3.1 Type-A with Power Delivery
- 1 USB 3.0 Type-C port with Display Port Alt Mode/Power-Share
- 1 Combo Mic/Headphone Jack

#### Embedded I/O

- On-Board Camera capability of taking pictures with Console
- 5 MP RGB + IR FHD webcam with privacy shutter / 8 MP rear camera with Flash and Dual Microphone

#### **Dimensions / Weight**(1)

- 7.99in x 12.29in x .96in (203mm x 312mm x 24mm)
- 2.93 lbs (1.33 kg)(1)

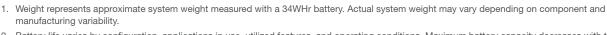
#### **Battery**

• Battery life up to 6 hours (2)

#### **Backwards Compatibility**

· Can run with Kaye Validator and Kave ValProbe Software

- 2. Battery life varies by configuration, applications in use, utilized features, and operating conditions. Maximum battery capacity decreases with time and use.



### Two ways to Connect the Validation Console to the Kaye Validator AVS

**Docking Mode** (Standalone)

The console sits in the docking station of the Validator AVS and connects directly.

The Validator AVS offers a fully functional docking station with direct access to the ports located on rear of the unit.

Console battery is charged while docked.



The Validator AVS and the console can connect to a local network by using Ethernet or Wi-Fi connection.

The Validation Console can be used to communicate to any connected AVS.





The Kaye Validator AVS system can establish wireless connections\* by utilizing any kind of available Wi-Fi infrastructure like in-house Wi-Fi access points or simply set up a smartphone as a hotspot. This feature simplifies your daily routine work. You can access the live data wirelessly on the console screen while the Validator is wired on the other side of the autoclave. You can start or stop studies and read the live data from a Kaye Validator AVS in a cleanroom without entering the room.

<sup>\*</sup> This feature is not available in some countries. Please contact your local Kaye support for details.

### **Kaye Validator AVS Software**

#### Asset Centric Data Management

The Kaye Validator AVS includes an intuitive Asset Centric Data Management concept which allows you to store and access your data faster and more efficiently.

Each individual process that you validate whether an autoclave or freezer etc. can be setup and defined as an asset. All files and data related to this asset, like setups, calibrations, or study files,



are organized and accessed in one single screen around the basic asset data. It is even possible to upload additional documents like standard operation procedures or certificates and associate it with the asset. Assets can be sorted and searched by type, location, manufacturer etc. for easy access.



- Organized Study Data
- Simplified Data Search

#### **Equipment Assets**

The Kaye Validator AVS also allows you to define assets for each piece of Kaye Validation equipment. Data such as serial number, calibration due dates can be defined. The software will automatically notify user when calibrations are due.

The equipment search function uses the Kaye serial number, that is automatically retrieved as part of the study file\*, to find related files. With just one fingertip you get a list of qualification studies, where the equipment asset was used.

**② ③** 

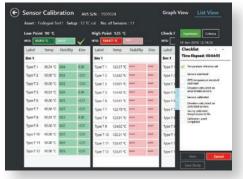
<sup>\*</sup> not for Temperature bath product line

#### Sensor Calibration / Verification

Kaye the original creator of the Automatic Sensor Calibration/Verification feature has included enhancements eliminating manual methods of sensor calibration/verification resulting in better accuracy. The Kaye Validator AVS is backward compatible to existing Kaye IRTD and Calibration baths. The Automatic Calibration/Verification feature minimizes training and ensure accurate, and repeatable calibrations optimized for your Kaye calibration equipment



Define the temperature setpoint as well as criteria for stability and deviation.





The Console shows the entire calibration process on one screen. Data fields change color to show the progress of stability and deviation for each sensor. A status screen lists each step and indicates where the system is in the process.

#### **Qualification Study**

During the Qualification study real-time data can be displayed in multiple formats to easily view and analyze process performance. Views include group based data, calculations and system messages. Graphical and wiring overlay displays provide additional perspective.

Since the AVS controls the measurement, calculations, and data storage, it is not necessary to have the Console connected during the entire study. Users can disconnect the Console to go execute a Calibration on another AVS. At any time they can return and re-connect the Console to the AVS. All of the live and historical information from the AVS can be displayed and analyzed.



# Kaye AVS **Reporting Tool**

#### **Document Critical Validation Studies**

The Kaye Validator AVS Console includes an extensive and flexible Reporting Tool used to analyze and document your critical Validation studies. The AVS Reporting Tool is a separate application which is seamlessly integrated into the AVS software. It can be used to document your Validation studies, as well as provide Pass/Fail Criteria analysis to save hours of manual efforts.

While offering several new features and enhancements, the Reporting Tool is designed to ensure that the proven and accepted formats of the Validator 2000: Summary, Detailed Interval and Calibration reports are maintained, and Calibration formats are maintained.

Enhancements to Graphing reports, Set-up reports, as well as new reports such as Pass/Fail Criteria Report, provide faster and more detailed ways of analyzing your data. Reports can be previewed, printed, saved as a PDF or exported in CSV format.

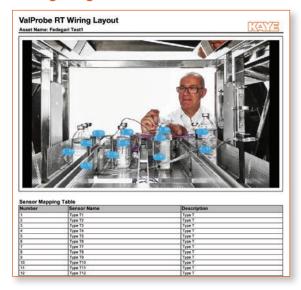
#### **Configuration Choices**

Prior to generating reports the AVS Reporting Tool provides a host of configuration choices:

- Sensors Included in Report
- Sensors Separated by Groups
- Sensor Placement and Description
- Define Cycles ( Qualification, Exposure, etc)
- Calculations (Statistical, Lethality, Saturation, MKT etc)
- Header / Footers
- Graphing
- Templates with Pass / Fail Criteria analysis

These features provide maximum flexibility to ensure you get the data and calculations you need in the format you need to meet your Validation reporting needs.

#### Wiring Diagram



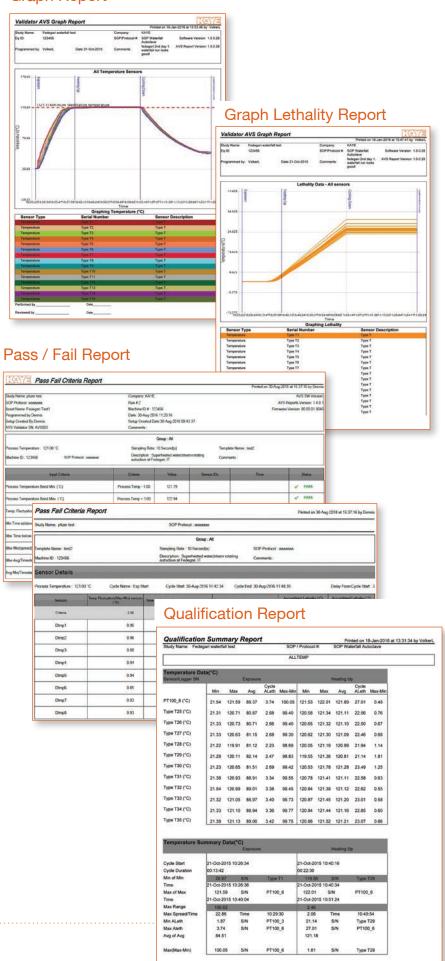
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10.28/00	0.00	0.00	0.00	0.00	8.00	0.00	0.00	0.00	0.00	0.00	600	0.00	8.00	Type T1	0.00	Type T1	00:012
10.30.00	0.00	0.00	0.00	0.00	8.00	0.00	0.00	0.00	0.00	0.00	6.00	6.00	8.00	Type T1	0.00	Type T1	00:03:2
10:32:00	0.00	0.00	0.00	0.00	0.00	0:00	0.00	0.00	0.00		0.00	0.00	0.00	Type T1	0.00	Type T1	00:05:2
1034.00	0.00	0.01	0.00	0.00	8.00	0.00	0.02	0.02	0.02	0.02	0.02	0.02	0.00	Type T1	0.02	Type 17.	00.073
10:36:00	0.06	0.27	0.18	0.06	0.16	0.09	0.38	0.36	0.36	0.58	0.37	0.36	0.03	Type T16	0.41	Type T20	00:09:
10:38:00	0.71	1:15	0.66	0.71	0.91	0.79	1.42	1.66	1.42	143	137	1.40	0.41	Type T16	1.60	PT100_6	00:113
10.40.00	2.23	2.69	2.49	2.22	2.39	2.29	3.09	3.12	3.08	3.11	195	304	1.65	Type T16	3.52	PT100_6	00 13:3
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10.42:00	4.12	4.58	442	411	436	4.19	5.03	5.05	498	5.04	4.61	4.95	3.54	Type T15	5.76	PF100_6	00:01.4
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10.48.00	8.18	8.62	8.47	8.19	8.26	8.15	B 04	9.08	8.94	9.08	8.70	8.97	7.10	Type T29	10.56	PT100_6	00:05
10.49.29	8.65	9.09	8.94	8.00	8.72	0.01	9.11	9.50	9.41	9.55	2.14	1.0	7.62	Type T29	11.12	PT100_6	00:00:1
10.47.04	9.27	9.70	9.06	928	9.33	9.21	10.12	1014	10.02	10.18	8.73	10.06	8.17	Type T28	11.84	PT100_0	00:06
10.47.40	9.90	10.32	10.18	8.90	9.54	9.62	10.74	10.77	10:64	10.81	10.33	10.68	8.74	Type T28	12.56	PT100_6	00:07:
10.48:00	19.24	10.67	10.53	10.25	10.28	10.16	11:00	99.95	10.07	11,15	10.06	11.01	9.00	Type T29	12.96	PT100_6	00:07
10.48.16	10.01	10:54	10.60	10.52	10.65	10.42	11.36	1136	11.24	11.42	10.02	11:20	9.36	Type T29	13.29	PT100_6	00 08 0
10:50:00	12.20	12.72	12.59	12:30	12:30	12,10	13.11	12.14	12.68	13.19	12.62	13.00	11.60	Type 129	19.37	PT100_6	00:09
10:52:06	14.35	14.77	14.65	54:38	14.33	14.17	15.10	15.15	14.95	15.22	14.58	15.07	13.81	Type 129	17.79	PT100_6	00114
10:54:00	18.41	16.80	16.71	16:48	10.30	16.20	17:00	17.16	10.34	17.24	15.48	17.08	14.00	Type T29	20.19	PT100_6	00:13
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10.56.00	18.47	18.85	19.78	16.55	18.38	18.23	19.13	19.23	10.06	19.21	10.45	19.15	10.50	Type T17	22.60	PT100_6	00 15-4
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#### Calibration Report

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1-06	89.74 °C	0.00 °C	1-07	89.58 °C	0.03 °C	1-08	89.73 °C	0.02 "0	1-09	89.74 °C	0.03 'C
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1-06	89.74 °C	-0.30 °C	1-07	89.58 °C	0.46 °C	1-08	89.73 °C	-0.31 °C	1-09	89.74 °C	-0.30 °C
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1-08	90.04 °C	0.01 10	1-07	90.03 °C	0.00 °C	1-08	90.03 °C	0.00 °C	1-09	90.03 °C	0.00 °C
1-10	90.03 °C	0.00°C	1-11	90.03 °C	0.00 °C	1-12	80.03 °C	0.00 °C			
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1-06	90.03 °C	0.00°C	1-07	90.03 °C	0.00 °C	1-08	90.03 °C	0.00 °C	1-09	90 02 °C	-0.01 °C
1-10	90.02 °C	-0.01 °C	1-11	80 05 °C	-0.01 °C	1-12	90.03 °C	0.00 °C			
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1-06											

#### **Graph Report**



### Reporting

- AVS Wiring Layout
- Setup Report
- Calibration Report
- Graph Report
- Detailed Report:
  - Statistical
  - Lethality
  - Saturation
  - MKT
- Summary Report
- Verification Report
- Pass / Fail Criteria Report
- Audit Trail Report



# Data Integrity / 21 CFR Part 11 Compliance

The Validator AVS was designed to meet the current regulatory guidelines for Data Integrity and 21 CFR Part 11. From the design of the Validation Console which minimizes operator access to files to the automated Sync functions to provide secure back up of the files. The system was designed to provide ease of use while in the background providing the data management and security to meet regulatory guidelines. All of these functionalities are fully documented in our Data Integrity and 21 CFR Part 11 Assessment documents.

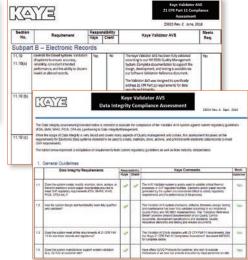
#### User Management



#### **Policies**



#### **Data Integrity Compliance**



#### **Audit Trail Report**



The Kaye Validator AVS is specifically designed to enable compliance with FDA 21 CFR Part 11. All recorded data, including calibration offsets, set-up parameters, and administrative tasks are saved in secure, encrypted, tamper-proof electronic records in a format accessible only through the system software. In addition to pre-configured privilege levels, it is possible to explicitly set permissions for each user.

With data synchronization to a shared folder it is possible to exchange configuration and data files like your assets, setups and study files with other Kaye Validation consoles. It also allows to synchronize the user database but also merging the audit trails of several consoles enabling sorting, searching and printing of department-wide audit trails, for example, a list of all failed login attempts within a specified time period across all synchronized Kaye Validation consoles. Every console has a unique but customizable machine ID for identification.

### Calibration / Verification

#### High Accuracy Referencing

Kaye's temperature calibration equipment is designed specifically to maximize overall system accuracy. Calibration equipment includes temperature references with superior uniformity for sensors, traceable intelligent RTD standards, and validation software to communicate with the hardware.

#### Intelligent RTD Standard

The IRTD Temperature Standard (IRTD-400) is a NIST-traceable instrument that is calibrated over the range of -196°C to 420°C. It is accurate to ±0.025°C over the entire operating range.

The IRTD-400 is a completely self-contained measurement system, containing the electronics for calibration and temperature conversion.

Communicating directly with the Validator AVS, the IRTD-400 eliminates the potential for human error, assuring accurate and traceable measurements.





LTR-150 (-30°C to 150°C) up to 48 Thermocouples



LTR-90 (-90°C to 150°C) up to 15 Thermocouples

#### Fast/Accurate References

Kaye offers a complete range of baths and dryblocks to cover your sensor Calibrations/ Verifications from -90°C to 420°C.

The DryBlocks are designed to offer fast heatup and cool down times, along with unmatched stability and accuracy.

Additional features such as capacity to hold 48 T/C's as well as specially designed T/C holders, and inserts ensure maximum uniformity and minimize errors from stem conduction.

This coupled with the Automatic Calibration software utility ensures unparalleled accuracy and repeatability while minimizing random errors.



HTR-420 (30°C to 420°C) up to 48 Thermocouples



CTR-80 (-80°C to 30°C)

### Accessories

Kaye offers a wide range of accessories to support your Validation needs. From ultra-premium thermocouple sensors to feedthru's, pressure transducers and much more, our goal is provide you will all the accessories, tools, documentation and services to simplify your efforts.

The Kaye product range is relied upon by the world's leading pharmaceutical and biotechnology companies to validate and monitor critical sterilization processes as required by governing regulatory bodies.

#### **Thermocouples**

Kaye thermocouple wire is manufactured with the highest purity and uniformity available to the industry. Quality control and testing of every wire spool and thermocouple probe ensures consistent measurement results. Each spool of wire includes a Certificate of Conformance - your guarantee that it meets the accuracy specifications. Each Teflon® Thermocouple is leakage vacuum tested.



- Thermocouples for Autoclaves
- Thermocouples for Dry Heat Tunnels
- Thermocouples: Stainless Steel
- Thermocouples with Stainless Steel Tip



#### Feedthru for Autoclave Applications

Easy way to seal the autoclave port when introducing thermocouples into the chamber. Standard 1.5" TRI-CLAMP® process connection. Installation is simple with out the need of any tools, fitted with safety release mechanism.

#### Feedthru Kit

Ideal set for qualifying an autoclave with e.g. one 1.5"TRI-CLAMP validation port but there is need for more than 18 Thermocouples and/or connections of a pressure transducer.





#### Pressure Transducer for Autoclaves

Comply with current standards to measure pressure in parallel to temperature when qualifying autoclaves. The pressure sensor is optimized to work with autoclaves and the Validator® AVS.

#### **Shipping Case**

Protect your Validator AVS during transfer and shipping and store it safely when not being used.



# System Documentation

#### **Quality Control Documents**

Kaye's quality policy, the ISO 9001 implementation and certificate, and document control standard operating procedures (SOPs)

#### **Development Procedures**

Design control and project management SOPs, and functional specifications

#### **Quality Assurance Procedures**

Test plan and test case procedures

#### **Release Documents**

Quality assurance certification and product release notices

#### **Quality Assurance Test Documentation**

Quality assurance test plan and test cases

#### IQ/OQ Protocol

The Installation Qualification/Operational Qualification Protocol defines a set of procedures to ensure that the Kaye Validator AVS system is properly installed and operated according to Amphenol recommendations, and is adequately documented and controlled according to cGMP requirements. The documents are provided in hard copy and on CD, allowing users to modify the documentation to suit specific organizational requirements.

The IQ/OQ Protocol includes the following:

- Installation Qualification document
- Operational Qualification document AVS
- Operational Qualification document AVS Report
- Standard Operating Procedures document

If you prefer to have IQ/OQ executed by qualified Kaye technicians we also provide Validation IQ/OQ On-Site Execution.



#### Validation Reference

The Kaye Validator AVS system is supported with documentation that verifies a fully validated system, including software, hardware and firmware. The Validation Reference Binder provides a comprehensive overview of the Amphenol Quality Policy, description of ISO 9001 implementation and support procedures, and standards for the development, testing, and maintenance of hardware and software. Quality Control documents, Development procedures, Quality Assurance procedures, Release documents, and Quality Assurance test documentation are all included.

The Validation Reference is a serialized document, ensuring that registered users automatically receive notification and updates to keep documentation current. The result is a summary of information you would obtain by conducting an audit at Amphenol's facility-complete, well organized, neatly packaged, and immediately accessible.

### **Additional Services**

- Factory / On-Site System Calibration
- Annual Service Contract
- Rentals

# System Specifications

#### **Total System Specifications**

When you use specifications to compare equipment, be sure to establish an error budget that accounts for all possible measurement uncertainty. Sensor calibration is an integral part of validation, and total system accuracy should include potential error from the recorder, as well as the temperature reference and traceable standard.

Since all component errors are additive to the total system, every potential error is significant. A summary of the error budget for an Amphenol validation system after sensor calibration with type T thermocouples, used at steam and dry heat, is listed below. These specifications are guaranteed under worst case conditions. Under typical operating conditions, you can expect significantly better accuracy.

Kaye Validator AVS (resolution and short term stability)	0.017°C	k=1
IRTD Temperature Standard	0.01°C	k=1
Temperature Reference	0.051°C	k=1
Total System Uncertainty	0.078°C	k=1



# **Kaye Validation Specifications**

Analog Input	Up to 48					
Thermocouples	Type T, J, K,E,B,R,N,S: 0.1°C; T+ limited range 0.01°C resolution					
Scanning Speed	48 channels / sec					
Internal Memory	4 gb for data collection					
Input Impedance	10K $\Omega$ . Source greater than 10K $\Omega$ produces open circuit indication					
Common Mode Rejection	160 db (8 inputs/sec) @ line frequency 145 db (12 inputs/sec) @ line frequency 140 db @ DC					
Max. Common Mode Voltage	100V pk ch-to-ch350V pk ch-to ch to frame ground					
Normal Mode Rejection	82 db @ 60 Hz (8 inputs/sec)69 db @ 60 Hz (12 inputs/sec)					
Voltage Input	0 to 10 VDC					
Resolution	1:72,000					
Voltage Input Accuracy	30 days: ±(0.003% of reading + 2 counts + 4 microvolts) 1 year: ±(0.006% of reading + 2 counts + 4 microvolts)					
Sensitivity	0.5 microvolts/count on most sensitive range					
Voltage Temp. Coef.	±(0.1 microvolts + 0.001% reading)/°C					
Compensator Temp. Coef.	±0.01°C per °C					
Input Terminal Temperature Non-uniformity	±0.1°C from calibrated terminal					
Input Ranges	-6 to 30mV, -12 to 60mV, -60 to 300mV, -2 to 10V					
Environmental	Temperature: 0 to 50°C (32 to 122°F) Relative humidity: 95% non-condensing					
Power	90 to 250 VAC, 50/60 Hz					
Fuse Rating	4A Slow Blow					
Size	190H X 411W X 381 mm D (457 mm with SIM) 7.5 in H x 16.2 in W x 15 in D (18 in with SIM)					
Weight	10.60 kg (23.4 lbs)					
Battery	Lithium ion with minimum 3 hours of battery backup					

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#### Warranty and disclaimer:

The information mentioned on documents are based on our current tests, knowledge and experience. Because of the effect of possible influences in an application of the product, they do not exempt the user from their own tests, checks and trials. A guarantee of certain properties or a guarantee for the proper suitability of the product for a specific, especially permanent application cannot be derived from our data. Liability is therefore excluded to that extent permitted by law. Any proprietary rights of third parties as well as existing laws and regulations must be observed by the recipient of the product on his own responsibility.

#### www.kaye-validator-avs.com

### www.kayeinstruments.com

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